TNT-102US1 PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

To Be Assigned

Applicant:

Aaron Bond et al.

Filed:

Herewith

Title:

METHOD OF STABALIZING ELECTRO-ABSORPTION MODULATORS (EAM'S)

PERFORMANCE BY MAINTAINING CONTSTANT ABSORPTION WITH THE USE OF INTEGRATED TAP COUPLERS AND METHOD OF MANUFACTURE

TC/A.U.: 2873

Examiner:

Mohammed A. Hasan

Docket No.:

TNT-102US1

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Under 37 C.F.R. § 1.98(d), copies of the patents and publications listed on the enclosed PTO Form 1449 are not required to be provided, because they were cited by or submitted to the Patent and Trademark Office in prior application Serial No. 10/218,289, filed August 14, 2002, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

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This Information Disclosure Statement is being filed concurrently with the abovereferenced application.

Respectfully submitted,

Kenneth N. Nigon, Reg. No. 31,549

Attorney(s) for Applicant(s)

KNN/tmb

Enclosures: PTO Form 1449

Dated: August 6, 2003

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

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I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08a (05-03) (AW 07/03)
Approved for use through 05/31/03. OMB 0651-0031
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TNT-102US1

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Substitute for Form 1449A/PTO	C mplete if Kn wn		
	Application Number	To Be Assigned	
INFORMATION DISCLOSURE	Filing Date	Herewith	
STATEMENT BY APPLICANT	First Named Inventor	Aaron Bond et al.	
(Use as many sheets as necessary)	Art Unit	To Be Assigned	
	Examiner Name	To Be Assigned	

Attorney Docket No.

SHEET 1 of 1

U.S. PATENT DOCUMENTS					
		Document Number			Pages, Columns, Lines, Where
	Cite No. <sup>1</sup>	Number - Kind Code <sup>2 (if known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US-6,275,329 B1	08/14/2001	Sieben	Entire Document
		US-6,304,347 B1	10/16/2001	Beine et al.	Entire Document
		US-			

FOREIGN PATENT DOCUMENTS						
	4::	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date (MM-DD-YYYY)	Applicant of Cited Document	Passages or Relevant Figures Appear	T <sup>6</sup>

Examiner	Date	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional).

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For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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\*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND T: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.